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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,287	04/27/2001	Gene Givan	P 034062 272080

CONFIRMATION NO. 9996

FORMALITIES LETTER



OC00000006230957

Pillsbury Winthrop LLP
Intellectual Property Group
50 Fremont Street
San Francisco, CA 94105

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



BEST AVAILABLE COPY

PAT-103 3/01 PTO RECEIPT FOR INDICATED ITEMS Atty JEJobe
Appln. No: 09/844,287 Date 08/08/01
Inventor(s)Patrick D. BUTLER et al C# 034062
Title: METHOD OF PROVIDING COMPARATIVE
MARKET INFORMATION M# 272080

ENCLOSED:

Amendment Appendix Cover sheet
No. of Pages Abstract
No. of Pages Spec and Claims
No. of numbered Claims only
 Declaration (3 #pgs)
 Assignment Cover Sheet
No. of Priority Documents
No. Sheets Drawings (Fig(s) 1 to) 1 set Formal
 IDS Letter cited Appl(s). Foreign sch rept./OA
 PTO-1449 cited docs.

\$ 934.00 Fee (Check)

Other: Filing Completion Under Rule 53(f) (transmittal) (in duplicate); Part 2 -
Copy of Notice to File Missing Parts of Nonprovisional Application; Return
Postcard

PAT-103 3/01 PTO RECEIPT FOR INDICATED ITEMS Atty JEJobe
Appln. No: 09/844,287 Date 08/08/01
Inventor(s)Patrick D. BUTLER et al C# 034062
Title: METHOD OF PROVIDING COMPARATIVE
MARKET INFORMATION M# 272080

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Amendment Appendix Cover sheet
No. of Pages Abstract
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No. Sheets Drawings (Fig(s) 1 to) 1 set Formal
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 PTO-1449 cited docs.

\$ 934.00 Fee (Check)

Other: Filing Completion Under Rule 53(f) (transmittal) (in duplicate); Part 2 -
Copy of Notice to File Missing Parts of Nonprovisional Application; Return
Postcard



THE DOCUMENT HAS A COLORED BACKGROUND. BACK OF THIS DOCUMENT HAS ARTIFICIAL WATERMARK HOLD AT ANGLE TO VIEW



"300454" 1512100035811 14992"520224"

TO THE ORDER OF		PAY	300454
Assistant Commissioner for Patents		NINE HUNDRED THIRTY-FOUR AND 00/100 DOLLARS	\$934.00
		PILLSBURY WINTHROP	
		515 MONTSERRAT STREET	
		SAN FRANCISCO, CALIFORNIA	
		94102-3001	
		West Broadway, Suite 800	
		San Diego, CA 92101	
		BANK OF AMERICA	
		65 MONTSERRAT STREET	
		SAN FRANCISCO, CALIFORNIA	
		94102-3001	
		West Broadway, Suite 800	
		San Diego, CA 92101	
		THE BOSTON BANK	
		GENERAL ACCOUNT	
		08/09/2001	300454

VOID AFTER 90 DAYS

Certificate of Express Mailing

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Services, as first class mail, on the date shown below, in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Dated: August 8, 2001

By: Kim A. Cabello

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

**PATENT
APPLICATION**

**COMPLETION Under
Rule 53(f)**

In re PATENT APPLICATION of

Inventor(s): Patrick D. BUTLER; Andrew M. POLE and Gener R. GIVAN

Appn. No.: 09 844,287 Atty.Dkt. P 034062 | M# 272080 Client Ref

Series Code ↑ Serial No. ↑

Filed: April 27, 2001 Title: METHOD OF PROVIDING COMPARATIVE MARKET INFORMATION

Hon. Commissioner of Patents
Washington, DC 20231

Date: August 7, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts copy attached not yet received

2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. Specification originally filed in non-English language; hence verified translation attached:

a. Abstract

b. # pages of Specification(only spec. & claims)

c. Drawing(s)

No of Sheets

Fig(s).

4. Letter filing formal drawing attached.

5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

SEP 27 2004

9. _____ (No.) Certified copy (copies): attached; previously filed (date) _____ filed on _____
in U.S. Application No. /

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached:

12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee	Design Application	\$320/\$160			106/26	
	Not Design Application	\$710/\$355		+710	101/201	
14. Total Effective Claims	23	minus 20 =	3	x \$18/\$9	+54	103/203
15. Independent Claims	1	minus 3 =	0	x \$80/\$40	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)		\$270/\$135		+0	104/204	
17. Surcharge for filing Declaration/filing fee late		\$130/\$65		+130	105/205	
18.	FILING FEE ENCLOSED =				\$894	
19. Original due date:	August 27, 2001					
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =		+0	115/215	
	(2mos)	\$390/\$195 =			116/216	
	(3mos)	\$890/\$445 =			117/217	
	(4mos)	\$1390/\$695 =			118/218	
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130		+0	139	
22. If "assignment" box 5 is X'd, add recording fee.		\$40		+40	581	
23. Petition Fee for		\$130		+0		
24.	TOTAL FEE ENCLOSED =				\$934	

Our Deposit Account No. 03-3975

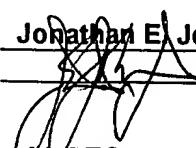
Our Order No. 34062

C# 272080 M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the Issue fee until/unless an Issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

101 W. Broadway, Suite 1800
San Diego, CA 92101-8219
Tel: (619) 234-5000
Atty/Sec: JEJ/KAC

By Atty: Jonathan E. Jobe, Jr.
Sig: 

Reg. No. 28,429
Fax: (619) 236-1995
Tel: (619) 544-3102

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

3623
JFW**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

		Application Number	09/844,287
		Filing Date	April 27, 2001
		First Named Inventor	Butler
		Art Unit	3623
		Examiner Name	Johnna Stimpak
Total Number of Pages in This Submission		Attorney Docket Number	022275-002400US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard, Copy of Resp. to Mp. Request for Copy of Recorded Assignment Copy of USPTO Webpage Print-out
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP Jonathan E. Jobe	Reg. No. 28,429
Signature		
Date	September 22, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Julie C. Freiburger		
Signature		Date	September 22, 2004

O I P E JCS
SEP 27 2004
PATENT & TRADEMARK OFFICE
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT
Docket No.: 022275-002400US

On

Sept. 22 '04

TOWNSEND and TOWNSEND and CREW LLP

By:

JM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BUTLER

Application No.: 09/844,287

Filed: April 27, 2001

For: METHOD OF PROVIDING
COMPARATIVE MARKET
INFORMATION

Examiner: Johnna Stimpak

Art Unit: 3623

**REQUEST FOR COPY OF RECORDED
ASSIGNMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached please find a copy of the transmittal of the Response to Missing Parts in the above-referenced application filed August 8, 2001 including:

1. Copy of the sent return postcard with stamped return postcard attached indicating PTO receipt on August 13, 2001;
2. Copy of the check in the amount of \$934.00 for payment of the filing fee and the recordation of the assignment;
3. Transmittal Form;
4. Copy of Notice to File Missing Parts;
5. Recordation Form Cover Sheet;

Application No.: 09/844,287
Page 2

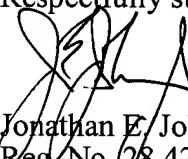
6. Assignment; and
7. Declaration.

We never received the recorded assignment. We also cannot locate in the private side of PAIR a reflection that the assignment was recorded (please see attached print-out from the USPTO website). Interestingly, this application was cited by an Examiner in another application as assigned to Hallmark.

CONCLUSION

Therefore, please provide us with a copy of the recorded assignment. We do not believe there should be a charge for this since we have already paid the \$40.00 for assignment recordation.

Respectfully submitted,



Jonathan E. Jobe, Jr.
Reg. No. 28,429

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
JEJ:jcf



United States Patent and Trademark Office

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SEP 27 2004

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J.C. S.

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Search results as of: 9-21-2004::12:35

Search results for application number: 09/844,287

Application Number:	09/844,287	Customer Number:	-
Filing or 371(c) Date:	04-27-2001	Status:	Docketed New Case for Examination
Application Type:	Utility	Status Date:	10-18-2001
Examiner Name:	STIMPAK, JOHNNA	Location:	ELECTRONIC
Group Art Unit:	3623	Location Date:	-
Confirmation Number:	9996	Earliest Publication No:	US 2002-0161623 A1
Attorney Docket Number:	P 034062 272080	Earliest Publication Date:	10-31-2002
Class/ Sub-Class:	705/026	Patent Number:	-
First Named Inventor:	Patrick Butler, Shawnee, KS (US)	Issue Date of Patent:	-
Title Of Invention:	Method of providing comparative market information		

Select Search Option

Image File Wrapper

Search

Published Documents

File History

Date	Contents Description
04-09-2004	IFW TSS Processing by Tech Center Complete
08-14-2002	Case Docketed to Examiner in GAU
07-30-2002	Case Docketed to Examiner in GAU
04-23-2002	Case Docketed to Examiner in GAU
10-18-2001	Case Docketed to Examiner in GAU
10-08-2001	Application Dispatched from OIPE
10-09-2001	Application Is Now Complete
06-27-2001	Notice Mailed--Application Incomplete--Filing Date Assigned
06-26-2001	Correspondence Address Change
05-09-2001	IFW Scan & PACR Auto Security Review
04-27-2001	Initial Exam Team nn